

Weihrauch degrees of numerical problems —comparison with arithmetic—

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- 1 Weihrauch degrees
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 - Zoo of Weihrauch degrees
- 2 "First-order parts" of Weihrauch degrees
 - Two viewpoints
 - Numerical/first-order problems
- 3 Bounded problems and bounded parts
 - Bounded problems from arithmetic
 - Bounded parts of degrees

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Weihrauch reducibility

For $f, g \in \omega^\omega$,

- Turing reducibility: $f \leq_T g \Leftrightarrow$ "f is computable from g".

For $A, B \subseteq \omega^\omega$,

- Muchnik reducibility: $A \leq_w B \Leftrightarrow$
"any element $f \in B$ computes an element $f \geq_T g \in A$ ",
- Medvedev reducibility: $A \leq_s B \Leftrightarrow$
"there is a uniform method Φ to convert an element $f \in B$
into an element $\Phi^f = g \in A$ ".

For $P, Q \subseteq \omega^\omega \times \omega^\omega$,

- Computable reducibility: $P \leq_c Q$,
- Weihrauch reducibility: $P \leq_W Q$.

Weihrauch reducibility

Consider $P \subseteq \omega^\omega \times \omega^\omega$ as $P : \subseteq \omega^\omega \rightarrow \mathcal{P}(\omega^\omega) \setminus \{\emptyset\}$.

- Computable reducibility: $P \leq_c Q \Leftrightarrow$

$\forall f \in \text{dom}(P) \exists g \leq_T f$ such that $g \in \text{dom}(Q)$ and $P(f) \leq_w^f Q(g)$
(i.e., $\forall u \in Q(g) \exists v \leq_T u \oplus f$ such that $v \in P(f)$)

- Weihrauch reducibility: $P \leq_W Q \Leftrightarrow$

there are Turing functionals Φ, Ψ such that

$\forall f \in \text{dom}(P) \Phi^f = g \in \text{dom}(Q)$ and $P(f) \leq_s Q(g)$ via Ψ^f
(i.e., $\forall u \in Q(g) \Psi^{u \oplus f} = v \in P(f)$)

P describes a problem of the form $\forall f \exists g (\varphi(f) \rightarrow \psi(f, g))$.

- \leq_W is often considered as a reduction on Π_2^1 -problems (but not really).
- $f \in \text{dom}(P)$: instance/input of a problem P .
- $g \in P(f)$: P -solution/output for g .

Weihrauch lattice

Degrees induced by Weihrauch reducibility form a lattice.

- $\sup(P, Q) = P \sqcup Q$
 $= \{((0, f), g) : (f, g) \in P\} \cup \{((1, f), g) : (f, g) \in Q\}$
- $\inf(P, Q) = P \sqcap Q$
 $= \{((f, g), (0, h)) : (f, g) \in \text{dom}(P) \times \text{dom}(Q), (f, h) \in P\}$
 $\cup \{((f, g), (1, h)) : (f, g) \in \text{dom}(P) \times \text{dom}(Q), (g, h) \in Q\}$
- $\mathbf{0}$: a problem with empty domain (i.e., $\mathbf{0} = \emptyset$): easiest problem
- * One may add ∞ as the hardest problem: $\text{dom}(\infty) = \omega^\omega$, $\infty(f) = \emptyset$

Here, we mainly focus on problems harder than "self-solvable".

- $\mathbf{1} := \text{id} = \{(f, f) : f \in \omega^\omega\}$: self-solvable (trivial) problem

Product is a basic operator on the Weihrauch lattice.

- $P \times Q = \{((f, g), (u, v)) : (f, u) \in P, (g, v) \in Q\}$
 $(P \times Q \geq_W \sup(P, Q) \text{ if } P, Q \geq_W \text{id.})$

\mathcal{X} : Polish space with computable representation

- $C_{\mathcal{X}}$ (closed choice on \mathcal{X})
instance: (a negative code for) a closed set $A \subseteq \mathcal{X}$
solution: a point in A
- $K_{\mathcal{X}}$ (compact choice on \mathcal{X})
instance: (a code by 2^{-n} -nets for) a compact set $A \subseteq \mathcal{X}$
solution: a point in A
- $\text{lim}_{\mathcal{X}}$ (limit operator)
instance: a convergent sequence $\langle x_i \rangle_{i \in \omega}$
solution: $x = \lim x_i$
- $\text{BWT}_{\mathcal{X}}$ (Bolzano-Weierstraß theorem)
instance: totally bounded sequence $\langle x_i \rangle_{i \in \omega}$
solution: convergent subsequence of $\langle x_i \rangle_{i \in \omega}$
- IVT (intermediate value theorem)
instance: continuous function $f : [0, 1] \rightarrow \mathbb{R}$ such that $f(0)f(1) \leq 0$
solution: $x \in [0, 1]$ such that $f(x) = 0$

Weihrauch degrees II

- WKL (weak König's lemma)
instance: infinite tree $T \subseteq 2^{<\omega}$
solution: a path of T
- WWKL (weak weak König's lemma)
instance: infinite tree $T \subseteq 2^{<\omega}$ with positive measure
solution: a path of T
- MLR (Martin-Löf random)
instance: $x \in \mathbb{R}$
solution: Martin-Löf random real relative to x
- RT_k^n (Ramsey's theorem)
instance: function $f : [\mathbb{N}]^n \rightarrow k$
solution: an infinite homogeneous set for f
- $\text{RT}_{<\infty}^n$ (Ramsey's theorem)
instance: $k \in \omega$ and function $f : [\mathbb{N}]^n \rightarrow k$
solution: an infinite homogeneous set for f

⋮

Zoo of Weihrauch degrees

- There are so many results on the study of the structure of Weihrauch degrees.

Brattka, Pauly, Marcone, Dzhamfarov, . . .

Zoo from V. Brattka's Tutorial slides.

See <http://cca-net.de/publications/weibib.php>.

Too complicated???

⇒ want some reasonable measure for Weihrauch degrees.

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Two viewpoints for axioms of second-order arithmetic

A, B axioms of second-order arithmetic (including RCA_0).

Degree-theoretic strength:

- Consider the complexity of $S \subseteq \mathcal{P}(\omega)$ such that $(\omega, S) \models A$.
- Strength can be described as the complexity of Turing ideals.
- Observation (though not exactly accurate)
" $(\omega, S) \models A \Rightarrow (\omega, S) \models B$ for any S means A plus strong enough induction implies B ."

First-order strength/proof-theoretic strength

- Consider the class of first-order/ Π_1^1 -consequences of A .
- It can be compared with the hierarchy of induction/bounding principles.

Two viewpoints for Weihrauch degrees?

Degree-theoretic strength:

- Computable reduction \leq_c well reflects Turing-degree-theoretic strength.
- Turing-degree-theoretic part of P :

$$T^d(P) := \{(f, g) \in \omega^\omega : f = f_0, g \geq_T g_0 \text{ for some } (f_0, g_0) \in P\}.$$

Then, $T^d(P) \leq_W P$ and $Q \leq_c P \Rightarrow Q \leq_c T^d(P)$.

First-order strength?

- Is there a good measure corresponding to the first-order parts in arithmetic?

Numerical/first-order problems

(Identify $n \in \omega$ with the constant function $\lambda x.n \in \omega^\omega$.)

- A problem P is said to be **numerical/first-order** if $P(f) \subseteq \omega$ for any $f \in \text{dom}(P)$.
- * Note that any solution of P doesn't have any computational power since it is just a constant function.
- There are many non-trivial first-order problems, e.g., $C_2, C_{\mathbb{N}}, \text{lim}_{\mathbb{N}}, \dots$

Theorem (Numerical/first-order part)

For a given problem P , the numerical/first-order part of P

$${}^1(P) := \max\{Q \leq_W P : Q \text{ is first-order}\}$$

always exists.

- Then, ${}^1(P) \leq_W P$, and,
 $Q \leq_W P \Rightarrow Q \leq_W {}^1(P)$ for any numerical Q .

Numerical/first-order parts

The first-order part just describes "non-uniformity" of a problem.

Theorem

A problem P is computably trivial (i.e., $P \leq_c \text{id}$) if and only if $P \leq_W Q$ for some first-order problem Q .

Indeed, it is orthogonal to the degree theoretic part.

Theorem

Let $P \geq_W \text{id}$.

- 1 $Td(Td(P)) = Td(P)$ and ${}^1({}^1(P)) = {}^1(P)$.
- 2 $Td({}^1(P)) \equiv_W {}^1(Td(P)) \equiv_W \text{id}$.

Numerical/first-order parts

Note that $T^d(P)$ and ${}^1(P)$ do not capture the exact power of P .

- Let $P = \inf(\text{WKL}, \text{C}_{\mathbb{N}})$. Then, $T^d(P) \equiv_W {}^1(P) \equiv_W \text{id}$, but $P >_W \text{id}$.
- * Similar problem happens in arithmetic, e.g., $\text{WKL} \vee \text{I}\Sigma_2^0$ implies neither the existence of non-recursive set nor non-trivial induction over RCA_0 .

The notion of non-diagonalizability introduced by Hirschfeld and Jockusch provides a nice condition to be first-order trivial.

Theorem (nondiagonalizable vs first-order trivial)

If a problem P is non-diagonalizable, i.e., there is a Turing functional Ψ such that

$$\Psi^f(\sigma) = 0 \Leftrightarrow \exists g \supseteq \sigma (g \in P(f)) \text{ for any } f \in \text{dom}(P),$$
then, ${}^1(P)$ is trivial.

Classification by first-order strength

Here, $P' = P \circ \lim_{\mathbb{N}^{\mathbb{N}}}$ (the jump of P).

- $\text{id} \equiv_W {}^1(\text{MLR})$
($\text{MLR} >_W \text{id}$)
- $K_{\mathbb{N}} \equiv_W {}^1(K_{\mathbb{R}^n}) \equiv_W {}^1(\text{WKL}) \equiv_W {}^1(\text{WWKL}) \equiv_W {}^1(\text{IVT})$
($K_{\mathbb{R}^n} \geq_W \text{WKL} >_W \text{IVT} >_W K_{\mathbb{N}}$)
- $C_{\mathbb{N}} \equiv {}^1(\lim_{\mathbb{N}^{\mathbb{N}}}) \equiv_W {}^1(C_{\mathbb{R}^n}) \equiv_W {}^1(\text{BWT}_{\mathbb{R}^n}) \equiv_W {}^1(\lim_{\mathbb{N}})$
($\lim_{\mathbb{N}^{\mathbb{N}}} \geq_W C_{\mathbb{R}^n} \geq_W \text{BWT}_{\mathbb{R}^n} \geq_W \lim_{\mathbb{N}}$)
- $(K_{\mathbb{N}})' \equiv_W \text{RT}_{<\infty}^1 \equiv_W {}^1((\text{WKL})')$
- $(C_2)^{(n)} \leq_W {}^1(\text{RT}_2^n) \leq_W (K_{\mathbb{N}})^{(n)}$
- \vdots

Question

Brattka's observation:

$$K_{\mathbb{N}} <_W C_{\mathbb{N}} <_W K'_{\mathbb{N}} <_W C'_{\mathbb{N}} <_W K''_{\mathbb{N}} <_W C''_{\mathbb{N}} <_W \dots$$

does this hierarchy correspond to the Kirby-Paris hierarchy of induction and bounding in arithmetic?

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Problems from arithmetic I

We introduce problems corresponding to

- bounded comprehension (2nd-order form of induction),
- bounded separation (2nd-order form of bounding).

Let $\Gamma = \Sigma_n^0$ or Π_n^0 .

1 Γ -truth

- instance: $\langle A, \varphi \rangle$ where $A \subseteq \omega$ and $\varphi(X) \in \Gamma^X$,
- solution: $i \in \{0, 1\}$ answering whether $\omega \models \varphi(A)$ or not.

2 Γ -choice

- instance: $\langle A, \varphi_0, \varphi_1 \rangle$ where $A \subseteq \omega$ and $\varphi_i(X) \in \Gamma^X$ such that $\omega \models \varphi_0(A) \vee \varphi_1(A)$,
- solution: $i \in \{0, 1\}$ such that $\omega \models \varphi_i(A)$.

Problems from arithmetic II

For $n \geq 1$, we may easily see that

$$\Sigma_n^0\text{-choice} \leq_W \Pi_n^0\text{-choice} \leq_W \Sigma_n^0\text{-truth} \leq_W \Sigma_{n+1}^0\text{-choice}.$$

We see later that this is strict in a strong sense.

Proposition

- 1 $\Sigma_0^0\text{-truth} \equiv_W \Sigma_1^0\text{-choice} \equiv_W \text{id}.$
- 2 For $n \geq 1$, $\Pi_n^0\text{-choice} \equiv_W C_2^{(n-1)} \equiv_W \text{LLPO}^{(n-1)}.$
- 3 For $n \geq 1$, $\Sigma_n^0\text{-truth} \equiv_W \text{LPO}^{(n-1)}.$
- 4 For $n \geq 2$, $\Sigma_n^0\text{-choice} \equiv_W \Delta_n^0\text{-truth} \equiv_W \lim_2^{(n-2)}.$

Hierarchy of problems from arithmetic

- Given a problem P , P^* is defined as follows:
 - instance: $k \in \omega$ and $\langle f_i \in \text{dom}(P) : i < k \rangle$,
 - solution: $\langle g_i : i < k \rangle$ such that $g_i \in P(f_i)$.

Theorem (arithmetical hierarchy of bounded principles)

For $n \geq 1$ we have the following.

- $(\Sigma_n^0\text{-choice})^* \not\leq_W \Pi_n^0\text{-choice}$.
- $(\Pi_n^0\text{-choice})^* \not\leq_W \Sigma_n^0\text{-truth}$.
- $(\Sigma_n^0\text{-truth})^* \not\leq_W \Sigma_{n+1}^0\text{-choice}$.

Thus, we have the following hierarchy for $n \geq 1$:

$$(\Sigma_n^0\text{-choice})^* <_W (\Pi_n^0\text{-choice})^* <_W (\Sigma_n^0\text{-truth})^* <_W (\Sigma_{n+1}^0\text{-choice})^*.$$

1 Γ -bC (bounded choice)

- instance: $\langle A, \varphi, k \rangle$ where $A \subseteq \omega$, $\varphi(X, x) \in \Gamma^X$ and $k \in \omega$ such that $\omega \models \exists x < k \varphi(A, x)$,
- solution: $i \in \{0, \dots, k - 1\}$ such that $\omega \models \varphi(A, i)$.

2 Γ -bLC (bounded least choice)

- instance: $\langle A, \varphi, k \rangle$ where $A \subseteq \omega$, $\varphi(X, x) \in \Gamma^X$ and $k \in \omega$ such that $\omega \models \exists x < k \varphi(A, x)$,
- solution: least $i \in \{0, \dots, k - 1\}$ such that $\omega \models \varphi(A, i)$.

Proposition

Let $n \geq 1$.

1 $(\Sigma_n^0\text{-choice})^* \equiv_W \Sigma_n^0\text{-bC} \equiv_W \Delta_n^0\text{-bLC}$.

(corresponds to bound- Δ_n^0 -CA, $L\Delta_n^0 \approx I\Delta_n^0$)

2 $(\Pi_n^0\text{-choice})^* \equiv_W \Pi_n^0\text{-bC}$.

(corresponds to bound- Σ_n^0 -SEP) $\approx B\Sigma_n^0$

3 $(\Sigma_n^0\text{-truth})^* \equiv_W \Sigma_n^0\text{-bLC} \equiv_W \Pi_n^0\text{-bLC}$.

(corresponds to bound- Σ_n^0 -CA, $L\Sigma_n^0 \approx I\Sigma_n^0$)

Bounded problems

- A first-order problem P is said to be **bounded** if there is a Turing functional τ such that for any $X \in \text{dom}(P)$ of P , $\tau^X(0) \downarrow$ and $P(X) \subseteq [0, \tau^X(0)]$.
- A first-order problem P is said to be **k -bounded** if $P(X) \subseteq [0, k]$ for any $X \in \text{dom}(P)$.

Theorem

- 1 *If a problem P is k -bounded, then C_{k+1} is not Weihrauch reducible to P .*
- 2 *If a problem P is bounded, then $C_{\mathbb{N}}$ is not Weihrauch reducible to P .*

Bounded part

One can consider the bounded part of a degree as well.

Theorem (Bounded part)

For a given problem P , the bounded part of P

$$b^1(P) := \max\{Q \leq_W P : Q \text{ is bounded}\}$$

always exists.

Here are some examples.

Theorem

- For $n \geq 1$, $b^1(\lim_{\mathbb{N}^{\mathbb{N}}}^{(n-1)}) \equiv_W b^1(C_{\mathbb{N}}^{(n-1)}) \equiv_W (\Sigma_{n+1}^0\text{-choice})^*$.
- For $n \geq 0$, $b^1(\text{WKL}^{(n)}) \equiv_W b^1(K_{\mathbb{N}}^{(n)}) \equiv_W (\Pi_{n+1}^0\text{-choice})^*$.

Note that

$$\text{WKL} <_W \lim_{\mathbb{N}^{\mathbb{N}}} <_W \text{WKL}' <_W \lim'_{\mathbb{N}^{\mathbb{N}}} <_W \text{WKL}'' <_W \dots$$

Question

Brattka's observation:

$$K_{\mathbb{N}} <_W C_{\mathbb{N}} <_W K'_{\mathbb{N}} <_W C'_{\mathbb{N}} <_W K''_{\mathbb{N}} <_W C''_{\mathbb{N}} <_W \dots$$

Does this hierarchy correspond to the following Kirby-Paris hierarchy?

$$B\Sigma_1 < I\Sigma_1 < B\Sigma_2 < I\Sigma_2 < B\Sigma_3 < \dots$$

It seems this hierarchy reasonably fits with the hierarchy in arithmetic.

- $b^1(K_{\mathbb{N}}^{(n)}) \equiv_W (\Pi_{n+1}^0\text{-choice})^*$,
- $b^1(C_{\mathbb{N}}^{(n)}) \equiv_W (\Sigma_{n+2}^0\text{-choice})^*$.

However, they both closer to $B\Sigma_n^0 \dots$, indeed it fits better with

$$B\Sigma_1 < I\Delta_2 \leq B\Sigma_2 < I\Delta_3 \leq B\Sigma_3 < \dots$$

Classification by bounded parts

Here are more examples:

- $(\Sigma_1^0\text{-choice})^* \equiv_W \text{id} \equiv_W {}^{b1}(\text{MLR})$
- $(\Pi_1^0\text{-choice})^* \equiv_W (\text{C}_2)^* \equiv_W {}^{b1}(\text{K}_{\mathbb{R}^n}) \equiv_W {}^{b1}(\text{WKL}) \equiv_W {}^{b1}(\text{IVT})$
- $(\Sigma_2^0\text{-choice})^* \equiv_W (\text{lim}_2)^* \equiv_W {}^{b1}(\text{lim}_{\mathbb{N}^{\mathbb{N}}}) \equiv_W {}^{b1}(\text{C}_{\mathbb{R}^n})$
 $\equiv_W {}^{b1}(\text{BWT}_{\mathbb{R}^n}) \equiv_W {}^{b1}(\text{lim}_{\mathbb{N}})$
- $(\Pi_{n+1}^0\text{-choice})^* \equiv_W {}^{b1}(\text{RT}_{<\infty}^n)$

" $\text{RT}_{<\infty}^n$ is conservative over $(\Pi_{n+1}^0\text{-choice})^*$ for bounded principles."

Better understanding of Weihrauch separation

One may understand some separations in a better way:

Ex. 1: $\text{MLR} <_W \text{WWKL} <_W \text{WKL}$

$$\begin{aligned} Td(\text{MLR}) &\equiv Td(\text{WWKL}), \text{ but } b^1(\text{MLR}) < b^1(\text{WWKL}), \\ b^1(\text{WWKL}) &\equiv b^1(\text{WKL}), \text{ but } Td(\text{WWKL}) < Td(\text{WKL}). \end{aligned}$$

Ex. 2: $\text{IVT} <_W \text{WKL} <_W \mathbf{C}_{\mathbb{R}}$

$$\begin{aligned} b^1(\text{IVT}) &\equiv b^1(\text{WKL}), \text{ but } Td(\text{IVT}) < Td(\text{WKL}), \\ Td(\text{WKL}) &\equiv Td(\mathbf{C}_{\mathbb{R}}), \text{ but } b^1(\text{WKL}) < b^1(\mathbf{C}_{\mathbb{R}}). \end{aligned}$$

Classification by computability strength

id	\equiv_c	$\text{IVT}, \text{C}_{\mathbb{N}}, \text{RT}^1$
\wedge_c		
WWKL	\equiv_c	MLR
\wedge_c		
WKL	\equiv_c	$\text{C}_{\mathbb{R}}, \text{C}_{2^{\mathbb{N}}}, \text{BWT}_{\mathbb{R}^n}$
\wedge_c		
lim	\equiv_c	$\text{lim}_{\mathbb{R}}$
\wedge_c		
WKL'	\geq_c	RT^2
\wedge_c		
lim'		
\wedge_c		
\vdots		
\wedge_c		
$\Delta_1^1 \text{CA}$	\equiv_c	ATR_1
\wedge_c		
$\text{C}_{\mathbb{N}^{\mathbb{N}}}$	\equiv_c	$\Sigma_1^1 \text{C}_{\mathbb{N}^{\mathbb{N}}}$

Classification by bounded strength

(inc. recent results with Patey and Angles D'Auriac)

id	$\equiv_{W,b1}$	MLR, DNR, PA
$\wedge_{W,b1}$		
C_2^*	$\equiv_{W,b1}$	LLPO*, WKL, WWKL, IVT, $C_{2^{\mathbb{N}}}$
$\wedge_{W,b1}$		
LPO*	$\equiv_{W,b1}$	$\min_{\mathbb{N} \rightarrow \mathbb{N}}$
$\wedge_{W,b1}$		
lim_2^*	$\equiv_{W,b1}$	lim, BWT $_{\mathbb{R}^n}$, $\text{lim}_{\mathbb{N}}$, $C_{\mathbb{R}}$
$\wedge_{W,b1}$		
$C_2'^*$	$\equiv_{W,b1}$	WKL', RT ¹
$\wedge_{W,b1}$		
lim'_2^*	$\equiv_{W,b1}$	lim \star lim
$\wedge_{W,b1}$		
$C_2''^*$	$\equiv_{W,b1}$	WKL'', RT ²
$\wedge_{W,b1}$		
$\Delta_1^1 C_2^*$	$\equiv_{W,b1}$	$\Delta_1^1 \text{CA}$, ATR ₁
$\wedge_{W,b1}$		
$\Sigma_1^1 C_2^*$	$\equiv_{W,b1}$	$\Sigma_1^1 C_{2^{\mathbb{N}}}$, $C_{\mathbb{N}^{\mathbb{N}}}$, $\Sigma_1^1 C_{\mathbb{N}^{\mathbb{N}}}$

Some questions

Question

Is there a nice characterization of a problem whose first-order part is trivial, i.e., ${}^1(P) \equiv_W (\text{id})$?

If a problem P is non-diagonalizable, i.e., there is a Turing functional Ψ such that

$$\Psi^f(\sigma) = 0 \Leftrightarrow \exists g \supseteq \sigma (g \in P(f)) \text{ for any } f \in \text{dom}(P),$$

then, ${}^1(P)$ is trivial.

However,

- TS_3^1 (thin set theorem for 3-colors) is not below any non-diagonalizable degree, but ${}^1(\text{TS}_3^1)$ is trivial.

Question

What is the first-order/bounded part of RT_2^n ?

Indeed, the strength of Ramsey's theorem in Weihrauch degrees is still complicated with this viewpoint.

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