

On equivalence relations generated by  
Cauchy sequences in countable metric spaces  
CTFM 2019, Wuhan University of Technology

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# Outline

- 1 Borel reduction
- 2 Classifying Polish metric spaces
- 3 Cauchy sequence equivalence relation

# Borel sets and Borel functions

## Definition

*Polish space*: a separable, completely metrizable topological space.

Let  $X, Y$  be two Polish spaces.

## Definition

$\mathbf{B}(X)$ : *Borel sets* of  $X$  is the  $\sigma$ -algebra generated by the open sets of  $X$ .

## Definition

A function  $f : X \rightarrow Y$  is *Borel function* if  $f^{-1}(U)$  is Borel for  $U$  open in  $Y$ .

## Borel hierarchy

$$\Sigma_1^0 = \text{open}, \quad \Pi_1^0 = \text{closed};$$

$$\Sigma_2^0 = F_\sigma, \quad \Pi_2^0 = G_\delta;$$

for  $1 \leq \alpha < \omega_1$ ,

$$\Sigma_\alpha^0 = \left\{ \bigcup_{n \in \omega} A_n : A_n \in \Pi_{\alpha_n}^0, \alpha_n < \alpha \right\};$$

$\Pi_\alpha^0 =$  the complements of  $\Sigma_\alpha^0$  sets;

$$\Delta_\alpha^0 = \Sigma_\alpha^0 \cap \Pi_\alpha^0.$$

# Borel reducibility between equivalence relations

Let  $X, Y$  be Polish spaces and  $E, F$  equivalence relations on  $X, Y$  respectively.

## Definition

$E \leq_B F$ : There is a Borel function  $\theta : X \rightarrow Y$  such that, for all  $x, y \in X$ ,

$$xEy \iff \theta(x)F\theta(y).$$

$E \sim_B F$ :  $E \leq_B F$  and  $F \leq_B E$ ;

$E <_B F$ :  $E \leq_B F$  but not  $F \leq_B E$ .

$\Sigma_1^1$  sets and  $\Pi_1^1$  sets

## Definition

Let  $X$  be a Polish space. A subset  $A \subseteq X$  is **analytic** (or  $\Sigma_1^1$ ) if there is a Polish space  $Y$  and a closed subset  $C \subseteq X \times Y$  such that

$$x \in A \iff \exists y \in Y ((x, y) \in C).$$

A subset  $A \subseteq X$  is **co-analytic** (or  $\Pi_1^1$ ) if  $X \setminus A$  is  $\Sigma_1^1$ .

## Theorem (Suslin)

*Let  $A \subseteq X$ . Then  $A$  is Borel iff it is both  $\Sigma_1^1$  and  $\Pi_1^1$ .*

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# 1st dichotomy theorem

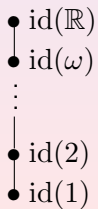
We say an equivalence relation  $E$  on  $X$  is Borel,  $\Sigma_1^1$ , or  $\Pi_1^1$  if  $\{(x, y) \in X^2 : xEy\}$  is so in  $X^2$ .

## Theorem (Silver, 1980)

Let  $E$  be a  $\Pi_1^1$  equivalence relation. Then

$$E \leq_B \text{id}(\omega) \text{ or } \text{id}(\mathbb{R}) \leq_B E.$$





## 2nd dichotomy theorem

### Definition

$E_0$  is the equivalence relation on  $\{0, 1\}^\omega$  defined by

$$xE_0y \iff \exists m \forall n \geq m (x(n) = y(n)).$$

**Fact:**  $E_0 \sim_B \mathbb{R}/\mathbb{Q}$ .

Theorem (Harrington-Kechris-Louveau, 1990)

*Let  $E$  be a Borel equivalence relation. Then either  $E \leq_B \text{id}(\mathbb{R})$  or  $E_0 \leq_B E$ .*

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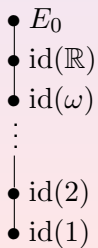
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# 3rd dichotomy theorem

## Definition

$E_1$  is the equivalence relation on  $\mathbb{R}^\omega$  defined by

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**Fact:**  $E_1 = \mathbb{R}^\omega / c_{00}$ , where  $c_{00} = \bigcup_n \mathbb{R}^n$ .

Theorem (Kechris-Louveau, 1997)

*If  $E \leq_B E_1$ , then  $E \leq_B E_0$  or  $E \sim_B E_1$ .*

# 3rd dichotomy theorem

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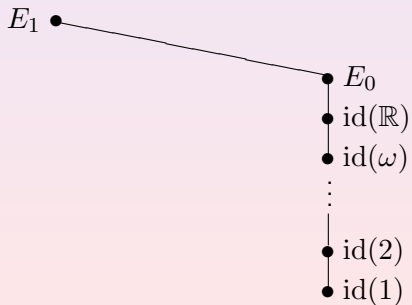
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## Theorem (Kechris-Louveau, 1997)

If  $E \leq_B E_1$ , then  $E \leq_B E_0$  or  $E \sim_B E_1$ .



## 4th dichotomy theorem

## Definition

Let  $E$  be an equivalence relation on  $X$ . The equivalence relation  $E^\omega$  on  $X^\omega$  defined by

$$xE^\omega y \iff \forall n(x(n)Ey(n)).$$

**Fact:**  $E_0^\omega \sim_B \mathbb{R}^\omega / \mathbb{Q}^\omega$ .

Theorem (Hjorth-Kechris, 1997)

*If  $E \leq_B E_0^\omega$ , then  $E \leq_B E_0$  or  $E \sim_B E_0^\omega$ .*



# 4th dichotomy theorem

## Definition

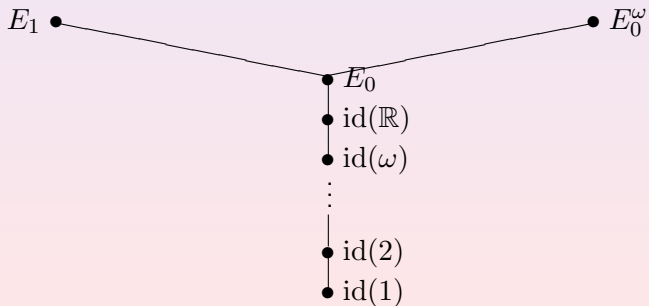
Let  $E$  be an equivalence relation on  $X$ . The equivalence relation  $E^\omega$  on  $X^\omega$  defined by

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## Theorem (Hjorth-Kechris, 1997)

If  $E \leq_B E_0^\omega$ , then  $E \leq_B E_0$  or  $E \sim_B E_0^\omega$ .



# Sequence equivalence relations

## Definition

Let  $G$  be a Borel subgroup of  $\mathbb{R}^\omega$ , then the Borel equivalence relation  $\mathbb{R}^\omega/G$  is defined by

$$x \text{ is equivalent to } y \iff x - y \in G.$$

**Fact:**  $E_1 = \mathbb{R}^\omega/c_{00} = \mathbb{R}^\omega/\mathbb{R}^{<\omega}$ ,  $E_0^\omega \sim_B \mathbb{R}^\omega/\mathbb{Q}^\omega$ .

Denote

$$c_0 = \{x \in \mathbb{R}^\omega : \lim_{n \rightarrow \infty} |x(n)| = 0\};$$

$$\ell_p = \{x \in \mathbb{R}^\omega : \sum_n |x(n)|^p < +\infty\};$$

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Theorem (Dougherty-Hjorth, 1999)

For  $p, q \in [1, +\infty)$ ,  $p \leq q \iff \mathbb{R}^\omega / \ell_p \leq_B \mathbb{R}^\omega / \ell_q$ .

Theorem (D. 2012)

For  $p \in (0, 1]$ , we have  $\mathbb{R}^\omega / \ell_p \sim_B \mathbb{R}^\omega / \ell_1$ .

Theorem (Rosendal, 2005)

Every  $K_\sigma$  equivalence relation on a Polish space is  $\leq_B \mathbb{R}^\omega / \ell_\infty$ .

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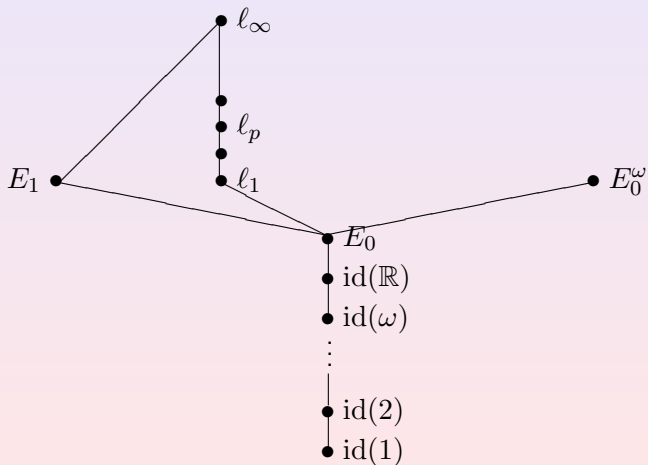
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$\mathbb{R}^\omega / c_0$ 

### Theorem (Hjorth, 2000)

For  $p \in [1, +\infty)$ ,  $\mathbb{R}^\omega / \ell_p$  and  $\mathbb{R}^\omega / c_0$  are  $\leq_B$  incomparable.

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$E_0^\omega \leq_B \mathbb{R}^\omega / c_0$ .

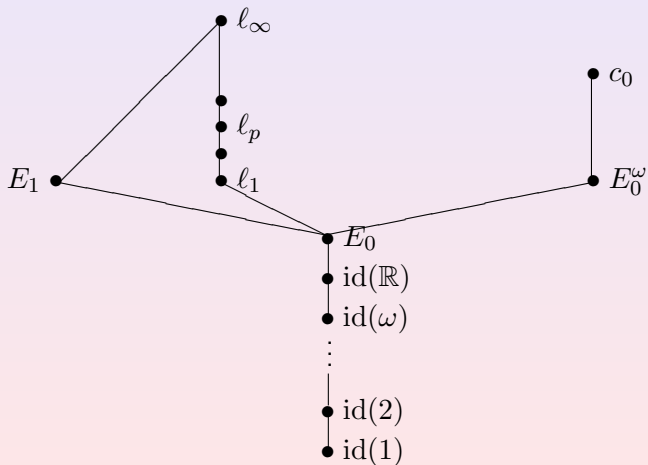
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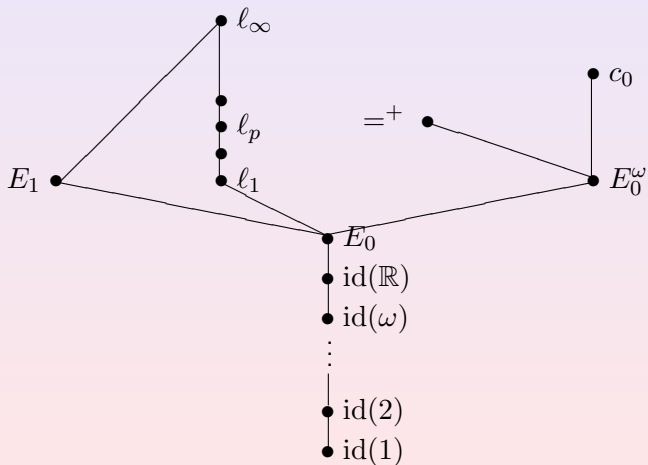
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Polish  $G$ -spaces and orbit equivalence relations

## Definition

**Polish group:** A topological group whose underlying space is Polish.

$G$ : Polish group,

$X$ : Polish space,

$a : G \times X \rightarrow X$ : continuous  $G$ -action on  $X$ .

## Definition

**Orbit equivalence relation:**

$$xE_G^X y \iff \exists g \in G(g \cdot x = y).$$

Any  $E_G^X$  is  $\Sigma_1^1$  equivalence relation.

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Theorem (Kechris-Louveau, 1997)

$E_1 \not\leq_B E_G^X$  for any Polish  $G$ -space  $X$ .

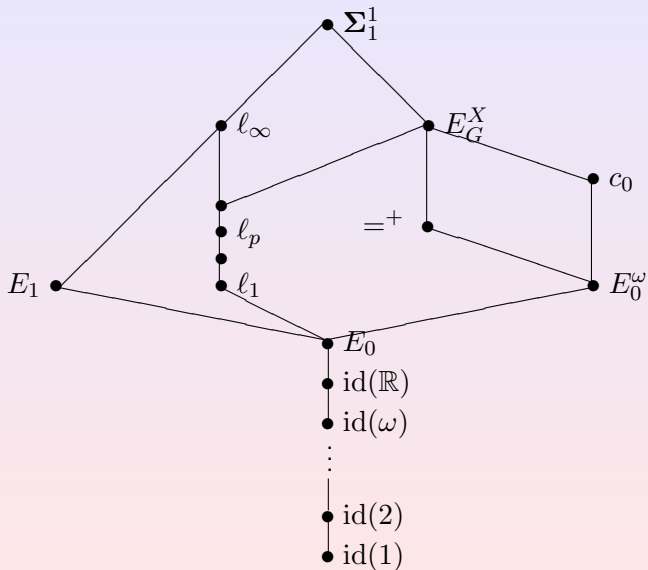
$E_0, E_1, \mathbb{R}^\omega / \ell_p, \mathbb{R}^\omega / \ell_\infty$ :  $F_\sigma$  equivalence relations;  
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# Classification problems for Polish/compact metric spaces

## Definition

**Polish metric space:** separable complete metric space.

- 1 Iso/ $\text{Iso}_{\text{cpt}}$ : isometry among Polish/compact metric spaces
- 2 Hom/ $\text{Hom}_{\text{cpt}}$ : homeomorphism ...
- 3 Lip/ $\text{Lip}_{\text{cpt}}$ : Lipschitz isomorphism ...
- 4 Uni/ $\text{Uni}_{\text{cpt}}$ : Uniform homeomorphism ...

**Note:**  $\text{Uni}_{\text{cpt}} = \text{Hom}_{\text{cpt}}$ .

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# Coding Polish metric spaces

## Definition

Let  $\mathbb{X} \subseteq \mathbb{R}^{\omega \times \omega}$  consisting of elements  $r = (r_{i,j})$  such that

- (1)  $\forall i, j \in \omega (r_{i,j} \geq 0 \wedge (r_{i,j} = 0 \iff i = j))$ ;
- (2)  $\forall i, j \in \omega (r_{i,j} = r_{j,i})$ ;
- (3)  $\forall i, j, k \in \omega (r_{i,j} \leq r_{i,k} + r_{j,k})$ .

$\mathbb{X}$  is a Polish subspace of  $\mathbb{R}^{\omega \times \omega}$ .

Denote  $\overline{X}_r$  the completion of  $(\omega, r)$ .

## Definition

$\mathbb{X}_{\text{cpt}} = \{r \in \mathbb{X} : \overline{X}_r \text{ is compact}\}$ .

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# Isometry and Homeomorphism

Theorem (Gromov)

$$\text{Iso}_{\text{cpt}} \sim_B \text{id}(\mathbb{R}).$$

Theorem (Gao-Kechris, 2003)

*Iso is a universal orbit equivalence relation.*

Theorem (Zielinski, 2016)

$$\text{Iso} \sim_B \text{Hom}_{\text{cpt}}.$$

Fact

*Hom is an  $\Sigma_2^1$  equivalence relation on  $\mathbb{X}$ .*

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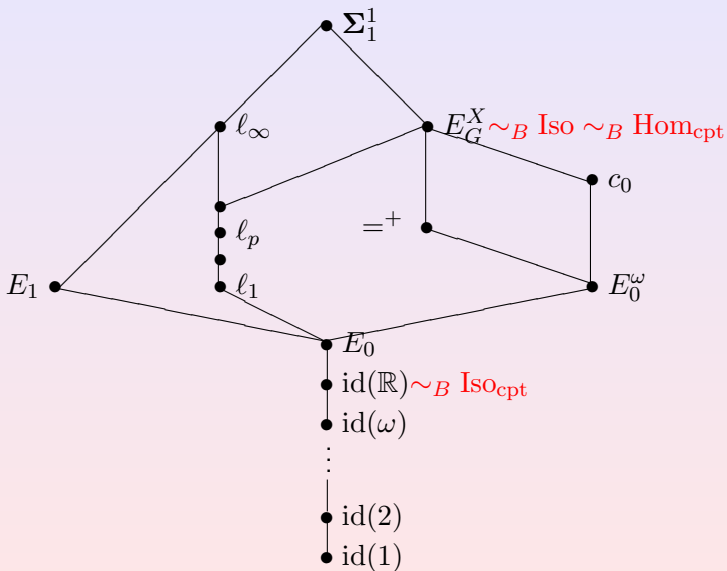
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# Lipschitz isomorphism and uniform homeomorphism

Theorem (Rosendal, 2005)

$$\text{Lip}_{\text{cpt}} \sim_B \mathbb{R}^\omega / \ell_\infty.$$

Theorem (Ferenczi-Louveau-Rosendal, 2009)

$\text{Lip} \sim_B \text{Uni}$  are *universal*  $\Sigma_1^1$  equivalence relations.

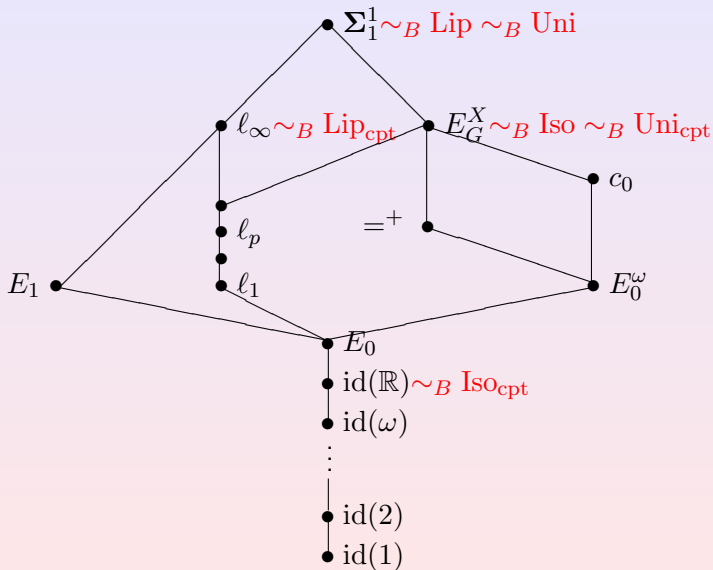
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# Cauchy sequence equivalence relation

## Fact

Let  $r, s \in \mathbb{X}$ . Then the following are equivalent:

- (a)  $(\omega, r)$  and  $(\omega, s)$  have the same set of Cauchy sequences;
- (b) there exists a homeomorphism  $\varphi : \overline{X}_r \rightarrow \overline{X}_s$  with  $\varphi \upharpoonright \omega = \text{id}(\omega)$ .

## Definition

**Cauchy sequence equivalence relation:** For  $r, s \in \mathbb{X}$ ,  $r E_{\text{CS}} s$  iff  $(\omega, r)$  and  $(\omega, s)$  have the same set of Cauchy sequences.

## Theorem (D.-Gu, 2018)

$E_{\text{CS}}$  is a  $\Pi_1^1$ -complete equivalence relation. So  $E_{\text{CS}}$  and Lip (or Uni) are Borel incomparable.

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## Definition

**Cauchy sequence equivalence relation:** For  $r, s \in \mathbb{X}$ ,  $r E_{\text{CS}} s$  iff  $(\omega, r)$  and  $(\omega, s)$  have the same set of Cauchy sequences.

## Theorem (D.-Gu, 2018)

$E_{\text{CS}}$  is a  $\Pi_1^1$ -complete equivalence relation. So  $E_{\text{CS}}$  and  $\text{Lip}$  (or  $\text{Uni}$ ) are Borel incomparable.

## Restriction on compact metric spaces

Denote  $E_{\text{csc}} = E_{\text{cs}} \upharpoonright \mathbb{X}_{\text{cpt}}$ .

Theorem (D.-Gu, 2018)

- 1  $E_{\text{csc}}$  is  $\Pi_3^0$ -equivalence relation;
- 2  $E_{\text{csc}} \sim E_G^X$  for some Polish group  $G$  and Polish  $G$ -space  $X$ ;
- 3  $\mathbb{R}^\omega / c_0 \leq_B E_{\text{csc}}$ ;
- 4  $=^+ \leq_B E_{\text{csc}}$ .

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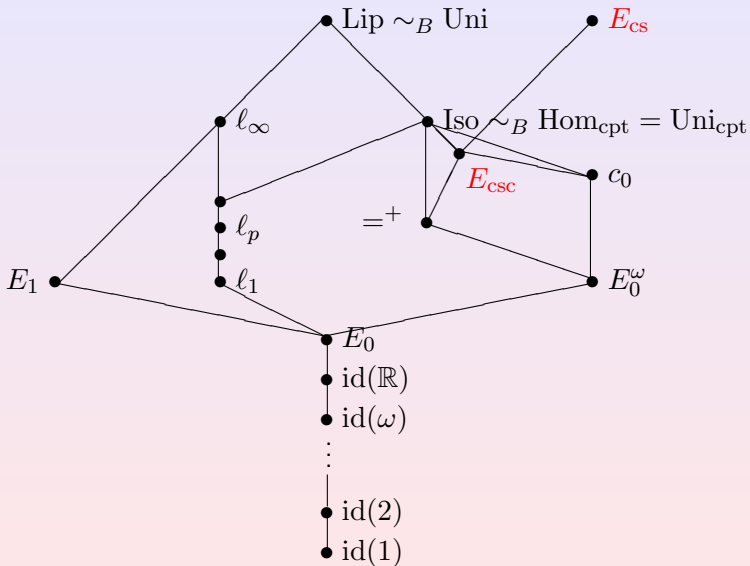
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## Some invariant subsets of $E_{\text{CSC}}$

$$\mathbb{X}_n = \{r \in \mathbb{X}_{\text{cpt}} : \text{card}(\overline{X}'_r) = n\},$$

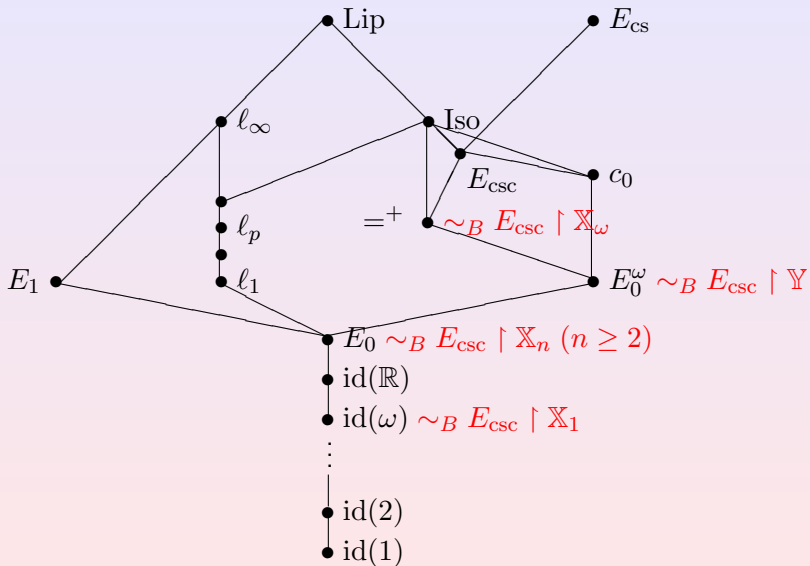
$$\mathbb{X}_\omega = \{r \in \mathbb{X}_{\text{cpt}} : \text{card}(\overline{X}''_r) = 1\}.$$

### Fact

$$r \in \mathbb{X}_n \iff \overline{X}_r \cong \omega \cdot n + 1,$$

$$r \in \mathbb{X}_\omega \iff \overline{X}_r \cong \omega^2 + 1.$$

$$\mathbb{Y} = \{r \in \mathbb{X}_\omega : \overline{X}_r = \omega\}.$$



The end

Thank you!