

# Combinatorial implication of computability theory

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# Introduction

- ▶ Many questions in computability theory, even for big question as  $KL$ -randomness vs 1-randomness, have close connection to combinatorics.
- ▶ We present one example in this talk. We prove that the relativized version of a naturally arisen reverse math question is equivalent to a purely combinatorial question.

We thank Denis Hirschfeldt, Benoit Monin and Ludovic Patey for helpful discussion on the first example.

## VWI problem

We adopt the problem-instance-solution framework to introduce the following problem. We first introduce some notations.

### Definition 1 (Variable word)

An *infinite variable word*  $W$  on alphabet  $\{0, \dots, l-1\}$  is a  $\omega$ -sequence of  $\{0, \dots, l-1\} \cup \{x_i : i \in \omega\}$  such that each variable  $x_i$  occurs at least once.

Given  $\vec{a} = a_0 \cdots a_{k-1}$ , let  $W(\vec{a})$  denote the finite  $\{0, \dots, l-1\}$ -string obtained by replacing  $x_i$  with  $a_i$  in  $W$  and then truncating the result just before the first occurrence of  $x_k$ .

Without loss of generality we assume that the first occurrence of  $x_i$  is smaller than that of  $x_{i+1}$  for all  $i \in \omega$ .

# VWI problem

## Example 2

Infinite variable word  $W$  on  $\{0, 1\}$ :

$$\begin{array}{ccccccc} & 011 & x_0x_0 & 011 & x_1 & x_0x_0 & x_1x_100 & x_2x_2 \cdots & (0.1) \\ \vec{a} = 10, W(\vec{a}) = & 011 & 11 & 011 & 0 & 11 & 0000 & \cdots & \end{array}$$

## Definition 3

- ▶ Problem:  $\text{VWI}(l, k)$ .
- ▶ Instance:  $c : l^{<\omega} \rightarrow k$ .
- ▶ Solution: an infinite variable word  $W$  such that  $\{W(\vec{a}) : \vec{a} \in l^{<\omega}\}$  is monochromatic.

## VWI vs RCA

Joe Miller and Solomon proposed the following question in [Miller and Solomon, 2004].

### Question 4

Is  $\text{VWI}(2, k)$  provable in RCA?

Or in terms of computability language:

### Question 5

Does every computable  $\text{VWI}(2, k)$  instance admit computable solution?

A relativized version of the question is:

### Question 6

Does every  $\text{VWI}(2, k)$  instance  $c$  admit  $c$ -computable solution?

## Related literature

### Definition 7 (VW, OVW)

If we require the occurrence of  $x_i$  being finite for all  $i$  then the problem is called VW.

If we require all the occurrence of  $x_i$  comes before any occurrence of  $x_{i+1}$  then it is called OVW (ordered variable word).

The problem is proposed by [Carlson and Simpson, 1984] and studied in [Miller and Solomon, 2004] [Liu et al., 2017]. Clearly,

### Theorem 8

$$\text{VWI}(l, k) \leq \text{VW}(l, k) \leq \text{OVW}(l, k).$$

$$\text{VWI}(l, k) \Leftrightarrow \text{VWI}(l, k + 1), \text{VW}(l, k) \Leftrightarrow \text{VW}(l, k + 1), \text{OVW}(l, k) \Leftrightarrow \text{OVW}(l, k + 1).$$

## Related literature

### Theorem 9 ([Miller and Solomon, 2004])

*There exists a computable instance of  $\text{OVW}(2, 2)$  that does not admit  $\Delta_2^0$  solution. Thus  $\text{RCA}_0 + \text{WKL}$  does not prove  $\text{VW}(2, 2)$ .*

The following result answers a question of [Miller and Solomon, 2004] and [Montalbán, 2011].

### Theorem 10 (Monin, Patey, L)

- ▶ *For every computable  $\text{OVW}(2, k)$  instance  $c$ , every  $\emptyset'$ -PA degree compute a solution to  $c$ .*
- ▶ *There exists a computable  $\text{OVW}(2, 2)$  instance such that every solution is  $\emptyset'$ -DNC degree.*

### Corollary 11 (Monin, Patey, L)

*ACA proves  $\text{OVW}(2, k)$ .*



## Related literature

Question 12 ([Miller and Solomon, 2004])

Does  $OVW(l, k)$  or  $VW(l, k)$  implies  $ACA_0$  for some  $l$ ?

# A combinatorial equivalence of "VWI(2, 2) vs RCA"

For two sets of numbers  $A, B$ , write  $A < B$  iff  $\max A < \min B$ .

## Definition 13 ( $\text{Oppress}(n_0, \dots, n_{r-1})$ )

For a sequence of integers  $n_0, \dots, n_{r-1} > 0$ , let  $N_0 < \dots < N_{r-1}$  be  $r$  sets of integers with  $|N_i| = n_i, i \leq r - 1$ , let  $N = \bigcup_{i \leq r-1} N_i$  we say

$\text{Oppress}(n_0, \dots, n_{r-1})$  holds iff:

there exists a function  $f : \mathcal{P}(N) \rightarrow \{0, 1\}$  such that for any  $k \leq r - 1$ , any  $n_k + 1$  many mutually disjoint subsets  $M_0, \dots, M_{n_k}$  of  $N$  with

$$M_i \cap N_k = \{\text{the } i^{\text{th}} \text{ large element in } N_k\} = \{\min M_i\}, 0 < i \leq n_k,$$

there exists  $I, J \subseteq \{1, \dots, n_k\}$  such that:

$$f(M_0 \cup (\bigcup_{i \in I} M_i)) \neq f(M_0 \cup (\bigcup_{i \in J} M_i)).$$

# A combinatorial equivalence of "VWI(2, 2) vs RCA"

## Theorem 14

*The following are equivalent:*

- ▶ *There exists a VWI(2, 2) instance  $c$  that does not admit  $c$ -computable solution.*
- ▶ *There exists an infinite sequence of positive integers  $n_0, n_1, \dots$  such that for all  $r \in \omega$   $\text{Oppress}(n_0, \dots, n_r)$  holds.*

## Intuition on $Oppress(n_0, \dots, n_{r-1})$

Suppose  $\Phi_0^c, \Phi_1^c$  has computed two variable word initial segment, namely  $W_0, W_1$ . For each  $i \in \{0, 1\}$ , let  $P_j^i = \{m : W_i(m) = x_j\}$ ,  $P_0^i = \{m : W_i(m) = 1\}$ . Suppose there are  $n_0, n_1$  many variables appearing in  $W_0, W_1$  respectively. Suppose  $W_1$  agrees with  $W_0$  before  $|W_0|$ , i.e.,  $|W_1| > |W_0|$ ,  $P_0^1 \cap |W_0| = P_0^0$ ,  $\min P_1^1 > |W_0|$ .

The key note is that: if  $W_0$  can not be extended, and for any configuration of  $W_0$  (namely  $W_0(\vec{a}), \vec{a} \in \{0, 1\}^{n_0}$ ),  $W_1/W_0(\vec{a})$  can not be extended, then  $Oppress(n_0, n_1)$  holds.

We consider  $c$  as a function  $f : (\text{Finite set of } \omega) \times \omega \rightarrow \{0, 1\}$  as following:  $c(\sigma) = f(\sigma^{-1}(1), |\sigma|)$  and  $f(B, n) = f(B \cap n, n)$  for all  $B \subseteq \omega, n \in \omega$ .

To see this:

To extend  $W_0$  we need to find mutually disjoint sets  $P'_i, 0 \leq i \leq n_0$  with  $P'_i - P_i^0 > |W_0|, i \leq n_0$  and a  $p > P'_i, i \leq n_0$  such that for all

$$I, J \subseteq \{1, \dots, n_0\}: f\left(P'_0 \cup \left(\bigcup_{i \in I} P'_i\right), p\right) = f\left(P'_0 \cup \left(\bigcup_{i \in J} P'_i\right), p\right).$$

$W_0$  cannot be extended implies such  $P'_i, p$  do not exist. In particular for any mutually disjoint subset  $M_0, M_1, \dots, M_{n_1}$  of  $n_1$ , let

$$P'_i = P_i^0 \cup \left(\bigcup_{j \in M_i} P_j^1\right), P'_0 = P_0^0 \cup P_0^1 \cup \left(\bigcup_{j \in M_0} P_j^1\right),$$
 there exists  $I, J$  with

$$I, J \subseteq \{1, \dots, n_0\}: f\left(P'_0 \cup \left(\bigcup_{i \in I} P'_i\right), p\right) \neq f\left(P'_0 \cup \left(\bigcup_{i \in J} P'_i\right), p\right). \text{ Where } p = |W_1|.$$

Moreover, for any configuration of  $W_0, W_1/W_0(\vec{a})$  can not be extended implies for any  $M_0 \subseteq \{1, \dots, n_0\}$ , let  $P'_0 = P_0^1 \cup P_0^0 \cup \left(\bigcup_{j \in M_0} P_j^0\right)$ , there

exists  $I, J \subseteq \{1, \dots, n_1\}$  such that

$$f\left(P'_0 \cup \left(\bigcup_{i \in I} P_i^1\right), p\right) \neq f\left(P'_0 \cup \left(\bigcup_{i \in J} P_i^1\right), p\right).$$

Thus the following  $\tilde{f} : \mathcal{P}(n_0 \cup n_1) \rightarrow \{0, 1\}$  witness  $Oppress(n_0, n_1)$ :

$$\tilde{f}(M) = f\left(P_0^1 \cup P_0^0 \cup \left(\bigcup_{i \in M \cap n_0} P_i^0\right) \cup \left(\bigcup_{j \in M \cap n_1} P_j^1\right), p\right).$$

## Intuition on $\text{Oppress}(n_0, \dots, n_{r-1})$

For  $\mathbf{n}, \mathbf{n}' \in \omega^{<\omega}$  we write  $\mathbf{n} \leq \mathbf{n}'$  if  $|\mathbf{n}| = |\mathbf{n}'|$  and  $\mathbf{n}(j) \leq \mathbf{n}'(j)$  for all  $j \leq |\mathbf{n}|$ .

It's obvious that:

### Proposition 15

*For  $\mathbf{n}$  being a subsequence of  $\mathbf{n}'$ ,  $\text{Oppress}(\mathbf{n}')$  implies  $\text{Oppress}(\mathbf{n})$ .*

*For  $\mathbf{n} \leq \mathbf{n}'$ ,  $\text{Oppress}(\mathbf{n})$  implies  $\text{Oppress}(\mathbf{n}')$ .*

## Intuition on $Opress(n_0, \dots, n_{r-1})$

### Proposition 16

$Opress(2, 2), Opress(2, 2, 2)$  holds.  $Opress(n)$  holds for all  $n > 0$ .

### Proof.

To see  $Opress(2, 2)$ , consider

$$f(\rho) = \rho(0) + \rho(1) + \rho(2) \pmod{2}.$$

To see  $Opress(2, 2, 2)$ , consider

$$f(\rho) = I(\rho(0) + \rho(1) > 0) + \rho(2) + \rho(3) + \rho(4) \pmod{2}.$$

Where  $I()$  is the indication function.

To see  $Opress(n)$ , simply consider  $f(\rho) = \sum_{i < |\rho|} \rho(i) \pmod{2}$ . □



## Intuition on $\text{Oppress}(n_0, \dots, n_{r-1})$

### Proposition 17

$\text{Oppress}(2, 2, 2, 2)$  does not hold.

### Proof.

We don't know the proof. Adam P. Goucher at Mathoverflow examined this using SAT solver (<https://mathoverflow.net/questions/293112/ramsey-type-theorem> ). It's easy to check that the following functions don't work:

$$f(\rho) = I(\rho(0) + \rho(1) > 0) + \rho(2) + \rho(3) + \rho(4) + \rho(6) \pmod{2}; \quad (0.2)$$

$$f(\rho) = I(\rho(0) + \rho(1) > 0) + I(\rho(2) + \rho(3) > 0) + \\ + \rho(4) + \rho(5) + \rho(6) \pmod{2};$$



## Proof of theorem 14

( $\Leftarrow$ ) Let  $\mathbf{n} = n_0, n_1 \dots$  be such an infinite sequence. Let  $\Phi_i$  be all Turing functional compute a VWI solution. For simplicity reason, let's put priority aside and assume  $\mathbf{n}$  is computable and all  $\Phi_i$  are total. It will be clear how the proof goes without these assumptions.

Let  $N_0$  be a set consisting  $n_0$  many first occurrence position of variables of  $\Phi_0$ ;

let  $N_1 > N_0$  be an arbitrary set consisting  $n_1$  many first occurrence position of variables of  $\Phi_1$ ;

and let  $N_2, N_3, \dots$  be defined similarly.

For all  $\sigma$  with  $\max N_{k+1} \geq |\sigma| > \max N_k$ , define  $c(\sigma)$  to be  $f_k \left( (N_0 \cup \dots \cup N_k) \cap \sigma^{-1}(1) \right)$  where  $f_k$  is the witness of  $Oppress(n_0, \dots, n_k)$ .

We show that  $\Phi_i = W$  is not a solution. W.l.o.g suppose  $N_i$  contains the first occurrence position of variable  $x_0, \dots, x_{n_i-1}$ ,

let  $FO_{x_j}$  denote the first occurrence position of  $x_j$  in  $W$ ,

let  $M_0 = \{m < FO_{x_{n_i}} : W(m) = 1\} \cap (\bigcup_{l \leq i-1} N_l)$ ,

$M_j = \{m < FO_{x_{n_i}} : W(m) = x_j\} \cap (\bigcup_{l \geq i} N_l), j \leq n_i - 1$ .

let  $k$  be such that  $\max N_k < FO_{x_{n_i}} \leq \max N_{k+1}$ .

Clearly  $M_j \subseteq N_0 \cup \dots \cup N_k$  are mutually disjoint with

$$M_j \cap N_i = \{\min M_j\} = \{\text{the } j^{\text{th}} \text{ large element of } N_i\}.$$

By definition of  $c$  and  $f_k$ , for  $\vec{a} \in \{0, 1\}^{n_i}$ ,

$c(W(\vec{a}) \upharpoonright FO_{x_{n_i-1}}) = f_k(M_0 \cup \bigcup_{j \in \vec{a}^{-1}(1)} M_j)$ . But there exists  $I, J$  with

$f_k(M_0 \cup \bigcup_{j \in I} M_j) \neq f_k(M_0 \cup \bigcup_{j \in J} M_j)$ , thus there exists  $\vec{a}_I, \vec{a}_J$  with

$c(W(\vec{a}_I) \upharpoonright FO_{x_{n_i-1}}) \neq c(W(\vec{a}_J) \upharpoonright FO_{x_{n_i-1}})$ .

( $\Rightarrow$ ) We try to construct countably many greedy solutions  $\Phi_0^c, \Phi_1^c \dots$  such that the failure of  $\Phi_0^c, \Phi_1^c \dots$  provides a sequence  $\mathbf{n}$  with  $Oppress(n_0, \dots, n_r)$  holds for all  $r$ . In the following proof, we consider  $c$  as a function  $f : (\text{Finite set of } \omega) \times \omega \rightarrow \{0, 1\}$  as following:  
 $c(\sigma) = f(\sigma^{-1}(1), |\sigma|)$  and  $f(B, n) = f(B \cap n, n)$  for all  $B \subseteq \omega, n \in \omega$ .  
 A solution to  $f$  is a sequence of set  $P_0, P_1, \dots$  such that there exists  $k \in \{0, 1\}$  such that for all  $I \subseteq \omega, r \in \omega$   $f(P_0 \cup (\bigcup_{j \in I} P_j), \min P_r) = k$ .

Each  $\Phi_i^c$  will compute a sequence of sets  $P_1, P_2, \dots$  and  $P_0$  as the position of  $x_1, x_2, \dots$  and  $\{i : W(i) = 1\}$ .

$\Phi_0^c$  compute  $P_1, P_2, \dots$  as following: At the beginning, let  $P_0[0] = \emptyset$  and let  $P_1[0] = \{b\}$  with  $b$  arbitrary. Suppose at time  $t$ ,  $P_0[t], \dots, P_n[t]$  are defined. To define  $P_{n+1}$ , try to find an integer  $p_{n+1} > P_n[t]$  and mutually disjoint sets  $P'_j \supseteq P_j[t], j \leq n$  with

$p_{n+1} > P'_j, P'_j - P_j[t] > P_n[t], j \leq n$  such that:

for all  $I, J \subseteq \{1, \dots, n\}$ ,

$$f\left(P'_0 \cup \left(\bigcup_{i \in I} P'_i\right), p_{n+1}\right) = f\left(P'_0 \cup \left(\bigcup_{i \in J} P'_i\right), p_{n+1}\right).$$

Whenever at time  $s$  such  $p_{n+1}, P'_j, j \leq n$  are found, update  $P_j[t]$  into  $P_j[s] = P'_j$  and let  $P_{n+1} = \{p_{n+1}\}$ .

Note that at some point  $t$   $\Phi_0^c$  can no longer find the next  $p_{n+1}$  otherwise  $\Phi_0^c$  is a solution to  $c$ .

$\Phi_1^c$  will make a guess on the  $n$  that  $\Phi_0^c$  can no longer find  $p_{n+1}$ . Whenever  $\Phi_1^c$  find his last guess  $n$  is incorrect he destroy his current computation and do it again with a new guess  $n + 1$ . Suppose in the end  $\Phi_0^c$  output  $n_0$  many  $P_j$  denoted as  $P_j^0, j \leq n_0 - 1$ . Let  $m_0 = \max P_{n_0-1}^0$ .  $\Phi_1^c$  will act slightly different from  $\Phi_0^c$  as following.

Suppose at time  $t$ ,  $\Phi_1^c$  has defined  $P_0[t], \dots, P_n[t] > m_0$ . To define  $P_{n+1}$ , try to find an integer  $p_{n+1} > P_n[t]$ , a set  $I \subseteq n_0$  and mutually disjoint sets  $P'_j \supseteq P_j[t], j \leq n$  with  $p_{n+1} > P'_j, P'_j - P_j[t] > P_n[t], j \leq n$  such that, let  $\tilde{P} = \bigcup_{j \in I} P_j^0$ :

for all  $J, J' \subseteq \{1, \dots, n\}$ ,

$$f\left(\bigcup_{i < 1} P_0^i \cup P'_0 \cup \tilde{P} \cup \left(\bigcup_{i \in J'} P'_i\right), p_{n+1}\right) = f\left(\bigcup_{i < 1} P_0^i \cup P'_0 \cup \tilde{P} \cup \left(\bigcup_{i \in J} P'_i\right), p_{n+1}\right).$$



Whenever at time  $s$  such  $p_{n+1}, P'_j, j \leq n$  are found, update  $P_j[t]$  into  $P_j[s] = P'_j$  and let  $P_{n+1} = \{p_{n+1}\}$ .

At some point  $t$   $\Phi_1^c$  can no longer find the next  $p_{n+1}$  otherwise  $\Phi_1^c$  is a solution to  $c$ . To see this, note that  $n_0$  is finite therefore there exists  $I \subseteq n_0$  such that  $\Phi_1^c$  find  $p_n$  with  $\tilde{P} = \bigcup_{j \in I} P_j^0$  for infinitely many  $n$ . Let

$i_{-1} = 0 < i_0 < i_1 < \dots$  and  $P$  be such that  $p_{i_r}$  is found with  $\tilde{P} = P$ . Let  $Q_r = \bigcup_{i_{r-1} \leq j < i_r} P_j$ . We have that for any  $r \in \omega$ , any  $J', J \subseteq r$ ,

$$f\left(\left(\bigcup_{i < 1} P_0^i\right) \cup P_0 \cup P \cup \left(\bigcup_{j \in J'} Q_j\right), p_{i_r}\right) = f\left(\left(\bigcup_{i < 1} P_0^i\right) \cup P_0 \cup P \cup \left(\bigcup_{j \in J} Q_j\right), p_{i_r}\right),$$

and  $\min Q_r = p_{i_{r-1}}$ . This gives a solution to  $c$  by further thinning the sequence of sets  $Q_j$  according to the color of  $f$ .

Similarly, every  $\Phi_i^c$  can only find finitely many  $P_0, P_1, \dots$ . Suppose in the end  $\Phi_i^c$  find  $n_i > 0$  many variable sets denoted as  $P_j^i, j \leq n_i - 1$ .

We show that  $\mathbf{n} = n_0, n_1 \dots$  is a sequence such that

$Oppress(n_0, \dots, n_r)$  holds for all  $r$ . To define  $f_k$ , the witness of  $Oppress(n_0, \dots, n_r)$ , for  $B \subseteq N_0 \cup \dots \cup N_k$  let

$$f_k(B) = f\left(\bigcup_{j \leq k} P_0^j \cup \left(\bigcup_{r \leq k, j \in B \cap N_r} P_j^r\right), \max P_{n_k}^k + 1\right).$$

To see  $f_k$  witness of  $Oppress(n_0, \dots, n_r)$ , let  $M_0, M_1, \dots, M_{n_i}$  be such mutually disjoint sets that

$M_j \cap N_i = \{\min M_j\} = \{\text{the } j^{\text{th}} \text{ large element of } N_i\}$ . If for all  $J, J' \subseteq n_i$ ,  $f_k(M_0 \cup (\bigcup_{j \in J'} M_j)) = f_k(M_0 \cup (\bigcup_{j \in J} M_j))$ , then it means  $\Phi_i^c$

can find  $p_{n_i+1}$  with  $\tilde{P} = \bigcup_{r < i, j \in M_0 \cap N_r} P_j^r, P'_0 = \bigcup_{i \leq r \leq k} P_0^r,$

$$P'_j = \bigcup_{r \geq i, u \in M_j \cap N_r} P_u^r, p_{n_i+1} = \max P_{n_k}^k + 1.$$

Let  $OPPRESS$  denote the set of infinite sequence of integers  $n_0, n_1, \dots$  such that  $Oppress(n_0, \dots, n_r)$  holds for all  $r$ .

### Theorem 18

*The following two degree classes are equal:*

$$\begin{aligned} & \{ \mathbf{c} : \mathbf{c}' \text{ compute a member in } OPPRESS. \} & (0.3) \\ & \{ \mathbf{c} : \mathbf{c} \text{ compute a VWI}(2, 2) \text{ instance } c \\ & \quad \text{that does not admit } c\text{-computable solution.} \} \end{aligned}$$

## On $Oppress(n_0, \dots, n_r)$

### Lemma 19

There exists a sufficiently large  $R \in \omega$  such that  $Oppress(\underbrace{2, \dots, 2}_{R \text{ many}})$  does not hold.





### Question 20

Does  $Oppress(2, 2, 2, 3)$  holds?

Does  $Oppress(2, 2, 2, R)$  holds for sufficiently large  $R$ ?

Is there a sufficiently large  $R$  such that  $Oppress(\underbrace{3, \dots, 3}_{R \text{ many}})$  does not hold?

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